



The Journey you Choose

Stockbroking Services



Rowan
Dartington

**For who you
are today
and where
you'll go
tomorrow**

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Your investments, your future, your choice

As one of the UK's leading providers of personalised wealth management, we're always on hand to provide expert advice that focuses on your individual goals and objectives. Yet, while we help guide your investments, you will always remain in complete control. After all, it's your future.

Our Stockbroking Service ensures that you are the one to write your financial journey. We are here to support you in your adventure but, ultimately, you are at the helm.

We know that wealth management is personal so our award-winning insight, instant access to live prices and direct line to the market makers is simply there to connect you to the best opportunities. The opportunity to create your perfect tomorrow.

You may want full support managing your portfolio, investment advice on individual investments, or you may simply want to trade. We have a service designed for you.

You're always in control

Advisory Managed Service

The Advisory Managed Service is ideal if you want to delegate the day-to-day management of your portfolio but still remain in control of your investment choices.

You'll be appointed your personal Stockbroker from our team of trusted and experienced specialists. They'll create a bespoke portfolio that is structured to your individual needs, but only after thoroughly discussing your preferences, your attitude to risk and your goals.

We'll then carefully monitor your holdings and make recommendations on suitable investments, all with the exceptional service you'd expect from a leading investment management specialist. Yet you always stay in control and make all the decisions on any investments.

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**We manage
your investment
portfolio and
offer advice, but
you make the
decisions**

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Advisory Dealing Service

Our Advisory Dealing Service is ideal if you'd prefer to only have advice on individual investments.

Your personal Stockbroker will proactively contact you to make investment recommendations, including shares, bonds, funds and investment trust opportunities. But, crucially, the final decision always rests with you. We won't ever act without your express permission.

Of course, while you have responsibility for managing the structure of your portfolio, any recommendations will be firmly in line with your risk preferences and objectives, as well as carefully considering the best time and market conditions possible to trade.

We'll check whether this approach is right for you during the application process.

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**We provide advice
on individual
investments, but
you manage your
portfolio and
stay in control**

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**Where the
decision
is yours**



Just say
the word

Execution-Only Service

The perfect choice if you take an active interest in the market and require no advice. You're in total control.

Our Stockbrokers are at the end of the phone to execute trades on your behalf in a wide range of shares, funds, gilts and bonds.

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You tell us
what you want
to buy and sell,
and when
”

Which Stockbroking Service is right for you?

Benefit	Advisory Managed	Advisory Dealing	Execution-Only
Stockbroker on hand to execute trades over the telephone at the best market price	✓	✓	✓
Trade in shares, bonds, funds, investment trusts, and exchanged traded funds	✓	✓	✓
Access investments from the whole of the market	✓	✓	✓
Online portfolio access	✓	✓	✓
Advice and regular telephone contact with your dedicated Stockbroker	✓	✓	
All advice is recommended by our in-house research team	✓	✓	
Access to our Capital Gains Tax Service	✓	✓	
Receive advice on suitable individual investments but you remain in control of how your portfolio is structured	✓	✓	
Biannual valuations	✓		
Advice on individual investments, plus we'll structure and monitor your portfolio according to sector, geographical and asset allocation	✓		



Protect your portfolio

CREST personal membership account

CREST is the central electronic settlement system for the London Stock Exchange and most UK equities are held within it. A CREST personal membership account lets you have all eligible assets held electronically within CREST, but your name will be retained on the register with the individual company/s.

Available within our dealing service, this enables you to receive all dividends and reports direct from the company. Also, as the assets are held electronically, you are no longer required to sign transfer forms to settle trades. Please see rate card for details.

Financial Services Compensation Scheme

As we're an FCA-authorized firm, your investments may be covered by the Financial Services Compensation Scheme (FSCS). As the UK's statutory customer compensation fund, the FSCS can pay limited amounts of compensation to customers if a firm is unable, or likely to be unable, to pay claims against it.

The FSCS is an independent body, set up under the Financial Services and Markets Act 2000 (FSMA), and its service is free to customers. If you'd like more information, just ask your Investment Manager or visit www.fscs.org.uk.

Insurance protection

We also have specialist insurance cover in place to protect us and our clients from fraud, misappropriation, theft, loss or damage to any client's assets in our custody and control, which includes securities and cash.



The first step

For Stockbroking Services that put you in control of your investments today and tomorrow, simply contact our dedicated team now.

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